

COPY OF PAPERS ORIGINALLY FILED

PATENT ATTORNEY DOCKET NO. DIVER1490-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.: Ronald Swanson et al.

10/027,806

Art Unit: 1631

Examiner Unassigned

Filed:

December 21, 2001

Title:

NUCLEIC ACIDS AND PROTEINS FROM CENARCHAEUM SYMBIOSUM

Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in connection with the above-identified patent application, please find the following:

- Request for Corrected Filing Receipt (2 pgs); (1)
- Copy of Filing Receipt reflecting changes (2 pgs); **(2)**
- Copy of Declaration as filed with Response to Missing Parts with correct spelling of (3) inventor's name highlighted (7 pgs); and
 - Return postcard. (4)

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, April 18, 2002 envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Mary E. Gillette

(Name of Person mailing Paper)

April 18, 2002

mature of Person Mailing Paper)

in re Application of:

Ronald Swanson et al.

Application No.: 10/027,806 Filed: December 21, 2001

Page 2

PATENT ATTORNEY DOCKET NO.: DIVER1490-3

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. <u>50-1355</u>. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: April 18, 2002

Lisa A. Haile, J.D., Ph.D. Registration No. 38,347

Telephone: (858) 677-1456 Facsimile: (858) 677-1465

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PATENT

Attorney Docket No.: DIVER1490-3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ronald Swanson et al.

Art Unit:

1631

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NUCLEIC ACIDS AND PROTEINS FROM CENARCHAEUM

SYMBIOSUM

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Commissioner for Patents Office of Initial Patent Examination **Customer Service Center** Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

Inventor Christa Schepler's last name needs to be corrected from Schepler to Schleper. A copy of the Declaration as filed with the Response to Missing Parts under separate cover is enclosed with the proper spelling of the inventor's name highlighted.

Applicant respectfully requests the change be made of record.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on April 18, 2002, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

Mary E. Gillette

In re Application of Ronald Swanson *et al.*

Application No.: 10/027,806 Filed: December 21, 2001

Page 2

PATENT Attorney Docket No.: DIVER1490-3

No fee is believed due in connection with the filing of this response. However, if any fee is deemed necessary, the Commissioner is authorized to charge (or apply any credits to)

Deposit Account No.: 50-1355. The Examiner is invited to contact Applicant's undersigned

representative if there are any questions related to this matter.

Respectfully submitted,

Date: April 18, 2002

Lisa A Haile, Ph.D. Reg. No. 38,347

Telephone: (858) 677-1456 Facsimile: (858) 677-1465

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Customer Number: 28213





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WASHINGTON, D.C. 20231

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

10/027,806 12/21/2001 1631 0.00 6 16 6

CONFIRMATION NO. 9183

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COPY OF PAPERS
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FILING RECEIPT

OC000000007473335

Date Mailed: 02/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ronald Swanson, Del Mar, CA; Robert A. Feldman, Santa Cruz, CA; Christa Schepler, Darmstadt, GERMANY; Edward F. DeLong, Monterey, CA; Christina M. Preston, Pacific Grove, CA;

Assignment For Published Patent Application

Diversa Corporation, San Diego, CA:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/408,020 09/29/1999 WHICH CLAIMS BENEFIT OF 60/102,294 09/29/1998

Foreign Applications

If Required, Foreign Filing License Granted 02/13/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Nucleic acids and proteins from cenarchaeum symbiosum

Preliminary Class

435

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